

Another Tree in Symbolic Dynamics Being Isomorphic to Almeida-Ramos' Tree*

符号动力系统中同构于 Almeida-Ramos 树的另一个树

Zeng Fanping

Xi Hongjian

曾凡平

席鸿建**

(Institute of Math., Guangxi Univ., 10 Xixiangtanglu, Nanning, Guangxi, 530004, China)
(广西大学数学研究所 南宁市西乡塘路 10号 530004)

Abstract On the basis of the pure combinatorial procedure a tree of 0-1 sequences is constructed, which is shown to be isomorphic to the tree constructed by Almeida-Ramos. Also self-similarity and eigenvalues of elements in such a tree are studied.

Key words symbolic dynamical systems, isomorphism of trees, self-similarity, eigenvalue
摘要 运用纯组合学方法构造了 0-1 序列的一个树 T , 证明了 T 同构于 Almeida-Ramos 树. 此外, 还研究了 T 的自相似性及 T 中元素的特征值.

关键词 符号动力系统 树的同构 自相似性 特征值

中图分类号 O 172.1

1 Instruction

Almeida-Ramos in reference [1] constructed a tree of 0-1 sequences, written T_{AR} , by using a pure combinatorial procedure and studied the norms of matrices induced by elements of T_{AR} . In this paper we construct, in a similar way, a tree, written T , of 0-1 sequences which is different from T_{AR} but shown to be isomorphic it. Moreover, we study the self-similarity in T and the distribution of eigenvalues of elements of T . According to reference [2], the tree T is closely related to the dynamics of Lorenz maps.

2 Another Tree in Symbolic Dynamics

Let $\Sigma = \prod_1^\infty \{0, 1\}$ and ${}^e\Sigma \rightarrow \Sigma$ be defined by ${}^e(x_1, x_2, \dots) = (x_2, x_3, \dots)$ for any $(x_1, x_2, \dots) \in \Sigma$. The $(\Sigma, {}^e)$ (with the usual product topology induced by the discrete topology of $\{0, 1\}$) is called to be the (one-sided) symbolic dynamical system (see reference [3] for more details).

Let $x, y \in \Sigma$ be two periodic sequences of periods n and m , respectively. Put $x = (x_1, x_2, \dots, x_n,$

$x_1, x_2, \dots, x_n, \dots)$ and $y = (y_1, y_2, \dots, y_m, y_1, y_2, \dots, y_m, \dots)$. We identify x with y if $n = m$ and $x_1 x_2 \dots x_n = y_1 y_2 \dots y_m$. with this identification, denote by \sum the related identification space, and by $x_1 x_2 \dots, x_{n-1} \triangle$ the identification class of the periodic sequence x of period n in \sum , where " \triangle " is a symbol different from either 0 or 1.

Let $1 < \triangle < 0$, define an order $<$ in \sum as follows for $x = x_1 x_2 \dots, y = y_1 y_2 \dots \in \sum$ with $x \neq y$, we say that $x < y$ if there exists an index i such that

$$x_1 x_2 \dots, x_{i-1} = y_1 y_2 \dots, y_{i-1} \text{ and } x_i < y_i.$$

A sequence $x \in \sum$ is said to be shift-maximal if, for any $1 \leq k \leq |x| - 1$, $\max\{{}^e x, {}^e x'\} \leq x$, where $|x|$ denote the length of $x, x' = x'_1 x'_2 \dots, 0' = 1, 1' = 0$ and $\triangle' = \triangle$. Denote by \sum the set of shift-maximal sequences in \sum and by \sum_k the set of shift-maximal sequences with length k for $k \geq 1$. Then we have

$$\sum = \bigcup_{k=1}^{\infty} \sum_k$$

for the topology of total order in \sum induced by the total order $<$.

We figure the element of \sum as a tree, written T in what follows, placing at the k -level the

1999-09-27收稿

* Supported by both the Natural Science Fund of Guangxi (9811022) and Foundation of Colleges of Guangxi.

** Dept. of Math., Guangxi Univ. for Nationalities, Nanning, Guangxi, 530006, China (广西民族学院数学系, 南宁, 530006).

elements of \sum_k in increasing order from left to right. The Fig. 1 below illustrates what we mean.

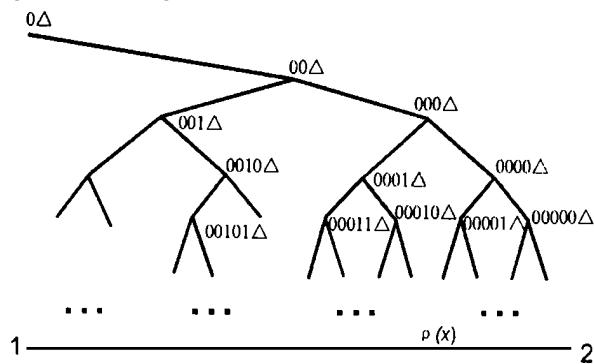


Fig. 1 A tree T satisfying $\overline{d(T)} \equiv \{d(x); x \in T\} = \{d(x); x \in T \cap P\} = [1, 2]$.

Denote by \sum_{fin} the set of finite 0-1 sequences. Define two maps h and j as follows

$$h: \sum_{fin} \cup \sum \rightarrow \sum_{fin} \cup \sum,$$

$$x = x_1x_2 \dots \mapsto a = a_1a_2 \dots,$$

where $a^1 = x^1$ and, for $2 \leq i \leq |x|$,

$$a_i = \begin{cases} x'_i, & \text{if } x_{i-1} = 0, \\ x_i, & \text{if } x_{i-1} = 1, \end{cases}$$

and

$$j: \sum_{fin} \cup \sum \rightarrow \sum_{fin} \cup \sum,$$

$$y = y_1y_2 \dots \mapsto b = b_1b_2 \dots,$$

where $b^1 = y^1$ and, for $2 \leq j \leq |y|$,

$$b_j = \begin{cases} y'_j, & \text{if the parity of 0's in } y_1y_2 \dots y_{j-1} \text{ is odd,} \\ y_j, & \text{if the parity of 0's in } y_1y_2 \dots y_{j-1} \text{ is even.} \end{cases}$$

Clearly, both h and j are injective.

Lemma 2.1 (i) Let $x = x_1x_2 \dots \in \sum_{fin} \cup \sum$ and $a = h(x) = a_1a_2 \dots$. Then, for any $k \leq j \leq |a|$, the parity of 0's in $a_1a_2 \dots a_j$ is odd (even, resp.) if and only if $x_j = 0$ ($x_j = 1$, resp.).

(ii) $j \circ h = h \circ j = id_{\sum_{fin} \cup \sum}$.

Proof (i) By induction on j . Clearly it is true for $j = 1$. Now suppose $j \geq 2$ and the conclusion is true for $j - 1$. Without loss of generality, assume the parity of 0's in $a_1a_2 \dots a_{j-1}$ is odd and $x_{j-1} = 0$. If the parity of 0's in $a_1a_2 \dots a_j$ is odd, then $a_j = 1$ and thus $x_j = a'_j = 0$, conversely, if $x_j = 0$, then $a_j = x'_j = 1$ and thus the parity of 0's in $a_1a_2 \dots a_{j-1}$ is odd; if the parity of 0's in $a_1a_2 \dots a_j$ is even, then $a_j = 0$ and thus $x_j = a'_j = 1$, conversely, if $x_j = 1$, then $a_j = x'_j = 0$ and thus the parity of 0's in $a_1a_2 \dots a_j$ is even.

(ii) We prove only $j \circ h = id_{\sum_{fin} \cup \sum}$, the a_j proof of that $j \circ h = id_{\sum_{fin} \cup \sum}$ is similar and omitted.

Let $x = x_1x_2 \dots \in \sum_{fin} \cup \sum$, $a = a_1a_2 \dots = h(x)$ and $y = y_1y_2 \dots = j(a)$. By the definitions of h and j , we have $y_1 = a_1 = x_1$, and, for any $2 \leq i \leq |x|$,

$$y_i = \begin{cases} a'_i, & \text{if the parity of 0's in } a_1a_2 \dots a_{i-1} \text{ is odd,} \\ a_i, & \text{if the parity of 0's in } a_1a_2 \dots a_{i-1} \text{ is even,} \end{cases}$$

where

$$a_i = \begin{cases} x'_i, & \text{if } x_{i-1} = 0, \\ x_i, & \text{if } x_{i-1} = 1. \end{cases}$$

If $x_i = \Delta$ then $y_i = a = \Delta = x_i$. Now suppose $x_i \neq \Delta$. Then $a \neq \Delta$ and $y_i \neq \Delta$. By (i), thus, one gets $y_i = a_i = x_i$. This completes the proof.

Let T_{AR} be the tree of 0-1 sequences constructed by Almeida-Ramos in reference [1]. In what follows we identify $c_1c_2 \dots c_k \Delta$ with $c_1c_2 \dots c^k$ for any $c_1c_2 \dots c^k \in T_{AR}$.

Theorem 2.2 T is isomorphic to T_{AR} .

Proof For convenience, denote by h and j the restrictions of h and j to T and T_{AR} , respectively. We will prove that $h: T \rightarrow T_{AR}$ is an isomorphism and complete the proof in following two steps.

Step 1 To prove that both $h: T \rightarrow T_{AR}$ and $j: T_{AR} \rightarrow T$ are well defined.

We prove only that $h: T \rightarrow T_{AR}$ is well defined, the proof of that $j: T_{AR} \rightarrow T$ is well defined is similar and omitted. Clearly it suffices to prove that $h(x) \in T_{AR}$ for any $x \in T$, that is, to prove that ${}^{\epsilon}h(x) \leq h(x)$ for any $1 \leq k \leq |x| - 1$.

Let $x = x_1x_2 \dots x_{n-1}\Delta$ and $h(x) = a = a_1a_2 \dots a_{n-1}\Delta$. Set $\max \{ {}^{\epsilon}h(x), {}^{\epsilon}h(x') \} = y_{k+1}y_{k+2} \dots y_{n-1}y_n$, where $y_n = \Delta$. Then we have

$$y_{k+1}y_{k+2} \dots y_{n-1}y_n \leq x.$$

Assume

$$y_{k+1}y_{k+2} \dots y_{k+r-1} = x_1x_2 \dots x_{r-1} \text{ and } y_{k+r} < x_r$$

for some $k \leq r \leq n - k$. Then we do argument in following four cases

Case 1 $y_{k+1}y_{k+2} \dots y_{k+r-1} = x_{k+1}x_{k+2} \dots x_{k+r-1}$ and $x_k = 0$. Then $a_{k+1} = x'_{k+1} = y'_{k+1} = x'_1 = 1 < 0 = a_1$. This implies ${}^{\epsilon}a < a$.

Case 2 $y_{k+1}y_{k+2} \dots y_{k+r-1} = x'_{k+1}x'_{k+2} \dots x'_{k+r-1}$ and $x_k = 1$. Then $a_{k+1} = x_{k+1} = y'_{k+1} = x'_1 = 1 < 0 = a_1$. This implies ${}^{\epsilon}a < a$.

Case 3 $y_{k+1}y_{k+2} \dots y_{k+r-1} = x'_{k+1}x_{k+2} \dots x'_{k+r-1}$ and $x_k = 0$. Then $a_{k+1} = x'_{k+1} = y_{k+1} = x_1 = 0$. For any $2 \leq i \leq r - 1$, if $x_{k+i-1} = 0$, then $x_{i-1} = y_{k+i-1} = x'_{k+i-1} = 1$ and thus we have $a_{k+i} = x'_{k+i} = y_{k+i} = x_i = a_i$; if $x_{k+i-1} = 1$, then $x_{i-1} = x'_{k+i-1} = 0$ and thus we have $a_{k+i} = x_{k+i} = y_{k+i} = x'_i = a_i$. That is, in this case, we have

$$a_1a_2 \dots a_{r-1} = a_{k+1}a_{k+2} \dots a_{k+r-1}.$$

If $x_{k+r-1} = 0$, then $x_{r-1} = y_{k+r-1} = x'_{k+r-1} = 1$ and thus we have $a_{k+r} = x'_{k+r} = y_{k+r} < x_r = a_r$. Note that in this case the parity of 0's in $a_1a_2 \dots a_{i-1}$ is even. Thus one gets ${}^{\epsilon}a < a$; if $x_{k+r-1} = 1$, then a similar argument implies ${}^{\epsilon}a < a$.

Case 4 $y_{k+1}y_{k+2} \dots y_{k+r-1} = x_{k+1}x_{k+2} \dots x_{k+r-1}$ and $x_k = 1$. Obviously, we have, in this case, $a_{k+1}a_{k+2} \dots a_{k+r-1} = a_1a_2 \dots a_{r-1}$ and $a_{k+r} \neq a_r$. If $x_{k+r-1} = 0$, then $x_{r-1} = y_{k+r-1} = x_{k+r-1} = 0$ and thus we have $a_{k+r} = x'_{k+r} = y'_{k+r} > x_r = a_r$. Note that in

this case the parity of 0's in $a_1 a_2 \cdots a_{i-1}$ is odd. Thus one gets ${}^{\mathfrak{C}}a < a$; if $x_{k+r-1} = 1$, then a similar argument implies ${}^{\mathfrak{C}}a < a$.

Step 2 To prove h is an order-preserving map.

Let $x = x_1 x_2 \cdots x_n$ and $y = y_1 y_2 \cdots y_m$ be in T with $x < y$, and let $h(x) = a_1 a_2 \cdots a_n$ and $h(y) = b_1 b_2 \cdots b_m$, where $x_n = y_m = a_n = b_m = \Delta$. Assume

$$x_1 x_2 \cdots x_{i-1} = y_1 y_2 \cdots y_{i-1} \text{ and } x_i < y_i$$

for some $0 \leq i \leq \min\{n-1, m-1\}$. Then we have

$$a_1 a_2 \cdots a_{i-1} = b_1 b_2 \cdots b_{i-1} \text{ and } a_i \neq b_i.$$

If $x_{i-1} = 0$, then $y_{i-1} = 0$ and the parity of 0's in $a_1 a_2 \cdots a_{i-1}$ is odd. Thus we have $a_i = x_i > y_i = b_i$ and then $h(x) < h(y)$; if $x_{i-1} = 1$, then a similar argument implies $h(x) < h(y)$. Hence the map $h: T \rightarrow T_{AR}$ is order-preserving.

For any $x, y \in T$, put $a = h(x)$ and $b = j(y)$, then there exists an edge between x and y in T if and only if there exists an edge between a and b in T_{AR} . Therefore, from Lemma 2.1 it follows that T is isomorphic to T_{AR} with respect to h . This completes the proof.

Remark 2.3 Denote by \sum_{AR} the set \sum in reference [1]. Clearly from the proof of Theorem 2.2 it follows that $(j \sum_{AR}) \circ (h \sum) = \text{id}_{\sum}$ and $(h \sum) \circ (j \sum_{AR}) = \text{id}_{\sum_{AR}}$.

3 Self-Similarity

In this section we study the self-similarity of T . The ideal of using self-similarity is motivated by reference [4].

Definition 3.1 A map $S: T \rightarrow T$ is said to be a self-similarity map if

- (i) S is monotone increasing;
- (ii) S has the following intermediate value property: Let T and U be in T with $T < U$. Then for any $\Gamma \in T$ satisfying $S(T) < \Gamma < S(U)$, there exists a $V \in T$ with $T < V < U$ such that $S(V) = \Gamma$.

Definition 3.2 Let $x = x_1 x_2 \cdots x_{n-1} \Delta \in T$.

Define a map $S_x: \sum \rightarrow \sum$ by, for any $y = y_1 y_2 \cdots \in \sum$,

$$S_x(y) = x_1 x_2 \cdots x_{n-1} y_1 \overline{y_1 y_2 \cdots},$$

where, for any $1 \leq i \leq |y| - 1$,

$$y_i = \begin{cases} x_1 x_2 \cdots x_{n-1}, & \text{if } y_i = 0, \\ x_1 x_2 \cdots x_{n-1}, & \text{if } y_i = 1. \end{cases}$$

The map $S_x: \sum \rightarrow \sum$ is well defined by Lemma 3.1 below. Denote by M the set of shift-maximal sequences in reference [5]. Define two maps ξ and η as follows

$$\begin{aligned} \xi: \sum_{AR} &\rightarrow M \\ a_1 a_2 \cdots &\mapsto a_1 a_2 \cdots, \end{aligned}$$

and

$$\begin{aligned} Z: M &\rightarrow \sum_{AR} \\ A_1 A_2 \cdots &\mapsto A_1 A_2 \cdots, \end{aligned}$$

where $\check{0} = R, \check{R} = 0, 1 = L, \check{L} = 1, \check{\Delta} = C$ and $\check{C} = \Delta$. Clearly, ${}_{a_0}Z = \text{id}_M, Z_{a_0} = \text{id}_{\sum_{AR}}$ and both a and Z are order-preserving.

Lemma 3.1 Let $x \in T$. Then, for any $y \in \sum$,

$$S_x(y) = j(Z({}^a(h(x)) * {}^a(h(y)))) ,$$

where $*$ -product is defined on page 72 in reference [3].

Proof Set

$$h(x) \otimes h(y) = Z({}^a(h(x)) * {}^a(h(y))).$$

Then it suffices to prove

$$S_x(y) = j(h(x) \otimes h(y)).$$

Let $h(x) = a_1 a_2 \cdots a_{n-1} \Delta$ and $h(y) = b_1 b_2 \cdots$, put $a = a_1 a_2 \cdots a_{n-1}$. It is not difficult to obtain that

$$h(y) \otimes h(y) = a \overline{b_1 a} \overline{b_2 \cdots},$$

where

$$b_i = \begin{cases} b'_i, & \text{if the parity of 0's in } a \text{ is odd,} \\ b, & \text{if the parity of 0's in } a \text{ is even.} \end{cases}$$

Note that the parity of 0's in a is odd (even, resp.) if and only if $x_{n-1} = 0$ ($x_{n-1} = 1$, resp.),

$$h(0x_1 x_2 \cdots x_{n-1}) = 0h(x_1 x_2 \cdots x_{n-1})$$

and

$$h(1x_1 x_2 \cdots x_{n-1}) = 1h(x_1 x_2 \cdots x_{n-1}).$$

Then we have

$$h(S_x(y)) = h(x) \otimes h(y).$$

Since $j = h^{-1}$, we have

$$S_x(y) = j(h(x) \otimes h(y)).$$

This completes the proof.

Theorem 3.2 Let $x \in T$. Then the map $S_x|_T: T \rightarrow T$ is a self-similarity map.

Proof Clearly, the map $S_x|_T: T \rightarrow T$ is well defined. Let $T, U \in T$ with $T < U$, and let $\Gamma \in T$ with $S_x(T) < \Gamma < S_x(U)$. Then

$${}^a(h(S_x(T))) < {}^a(h(\Gamma)) < {}^a(h(S_x(U)))$$

By Lemma 3.1 we have

$${}^a(h(S_x(y))) = {}^a(h(x)) * {}^a(h(y)).$$

for $y \in \{T, U\}$. Then from the properties of $*$ -product, see pages 72 to 78 in reference [5] for more details, there exists a $W \in M$ with ${}^a(h(T)) < W < {}^a(h(U))$ such that

$${}^a(h(\Gamma)) = {}^a(h(x)) * W$$

Let $V = j(Z({}^a(W)))$. Then $T < V < U$ and

$$\Gamma = j(Z({}^a(h(x)) * {}^a(h(V)))).$$

Thus by Lemma 3.1 one gets $S_x(V) = \Gamma$. This completes the proof.

Remark 3.3 Theorem 3.1 is useful to understand the local structure of the tree T . For example, for any even integer $n \geq 4$, the number of elements in n -level between the smallest one and $00101 \cdots 0101 \Delta (= 0 \Delta \otimes 0111 \cdots 1 \Delta)$ is equal to the number of elements in $\frac{n}{2}$ -level of T , this is easily

deduced from Theorem 2.1 by taking $x = 0\Delta$.

4 Eigenvalues

In this section the eigenvalues of elements in T are defined, which are shown to be closely related to the spectral radiuses of the matrices induced by elements of T_{AR} (see reference [6] for more details). Also the elements of T are divided into two classes, the primary and the non-primary, which are shown to be closely related to the kneading sequences of tent maps.

Let $\tilde{X}(1) = 1, \tilde{X}(\Delta) = 0$ and $\tilde{X}(0) = -1$. For any $x = x_1x_2\cdots \in \Sigma$, define the formal power series (polynomial in fact, whenever $x \in T$)

$$\mathfrak{d}_x(t) = 1 + \tilde{X}(x_1)t + \sum_{i=2}^{|x|} t \prod_{j=1}^{i-1} \tilde{X}(x_j) \tilde{X}(x_i),$$

where

$$\tilde{X}(x_i) = \begin{cases} \tilde{X}(x'_i), & \text{if the parity of } 0's \text{ in } x_1x_2\cdots x_{i-1} \text{ is odd,} \\ \tilde{X}(x_i), & \text{if the parity of } 0's \text{ in } x_1x_2\cdots x_{i-1} \text{ is even.} \end{cases}$$

For any $a = a_1a_2\cdots \in \Sigma_{AR}$, define the formal power series (polynomial in fact, whenever $a \in T_{AR}$)

$$K_a(t) = 1 + \sum_{i=1}^{|a|} t \prod_{j=1}^i \tilde{X}(a_j).$$

Lemma 4.1 (i) Let $x \in \Sigma$ and $a \in \Sigma_{AR}$ with $a = h(x)$. Then $\mathfrak{d}_x(t) = K_a(t)$.

(ii)

$$D(t) = \begin{cases} \mathfrak{d}_x(t) \sum_{i=0}^{\infty} t^i, & \text{if } x \in T, \\ \mathfrak{d}_x(t), & \text{if } x \in \Sigma - T, \end{cases}$$

where $D(t)$ is the related kneading determinant for the case $l = 2$ in reference [7].

(iii) Let $x \in T$ and $y \in \Sigma$. The $\mathfrak{d}_{S_x(y)}(t) = \mathfrak{d}_x(t) \mathfrak{d}_y(t^{|x|})$.

Proof (i) It suffices to show that, for any $2 \leq i \leq |x|$,

$$\prod_{j=1}^{i-1} \tilde{X}(x_j) \tilde{X}(x_i) \prod_{j=1}^i \tilde{X}(a_j) = 1.$$

Now we do it in following two cases

Case 1 $\prod_{j=1}^{i-1} \tilde{X}(x_j) \prod_{j=1}^{i-1} \tilde{X}(a_j) = 1$. We know, in this case, that the parity of 0 's in $x_1x_2\cdots x_{i-1}$ is odd (even, resp.) if and only if the parity of 0 's in $a_1a_2\cdots a_{i-1}$ is odd (even, resp.). Note that the parity of 0 's in $a_1a_2\cdots a_{i-1}$ is odd (even, resp.) if and only if $x_{i-1} = 0$ ($x_{i-1} = 1$, resp.). Then we have

$$\tilde{X}(x_i) = \begin{cases} \tilde{X}(x'_i), & \text{if the parity of } 0's \text{ in } x_1x_2\cdots x_{i-1} \text{ is odd,} \\ \tilde{X}(x_i), & \text{if the parity of } 0's \text{ in } x_1x_2\cdots x_{i-1} \text{ is even,} \end{cases}$$

$$= \begin{cases} \tilde{X}(x'_i), & \text{if the parity of } 0's \text{ in } a_1a_2\cdots a_{i-1} \text{ is odd,} \\ \tilde{X}(x_i), & \text{if the parity of } 0's \text{ in } a_1a_2\cdots a_{i-1} \text{ is even,} \\ \tilde{X}(x'_i), & \text{if } x_{i-1} = 0, \\ \tilde{X}(x_i), & \text{if } x_{i-1} = 1, \end{cases}$$

$$= \tilde{X}(a_i)$$

Thus the desired equality, in this case, is proved.

Case 2 $\prod_{j=1}^{i-1} \tilde{X}(x_j) \prod_{j=1}^{i-1} \tilde{X}(a_j) = -1$. A similar argument to case 1 can prove the desired equality.

(ii) Let $a = h(x)$. Then from Lemma 4.5 in reference [7] it is not difficult to obtain that

$$D(t) = \begin{cases} K_a(t) \sum_{i=0}^{\infty} t^i, & \text{if } a \text{ is finite,} \\ K_a(t), & \text{if } a \text{ is infinite.} \end{cases}$$

Thus by (i) we have the desired equality.

(iii) Let $h(x) \equiv a = a_1a_2\cdots a_{n-1}\Delta, h(y) \equiv b = b_1b_2b_3\cdots$. Then by the proof of Lemma 3.1 we have $h(S_x(y)) = a \otimes b$. Put $T_i \in (T_i), i = 1, 2, \dots, n-1, V_j \in (b_j)$ and $U = (-1)^{N(a)} V_j, j = 1, 2, \dots, |b| - 1$, where $N(a)$ is the parity of 0 's in a . Clearly we have

$$T_1T_2\cdots T_{n-1}U = V_k, \text{ for any } k \leq |b|.$$

Note that

$$a \otimes b = ab_1ab_2ab_3\cdots,$$

where

$$\hat{b}_i = \begin{cases} b'_i, & \text{if } N(a) \text{ is odd,} \\ b, & \text{if } N(a) \text{ is even.} \end{cases}$$

Then by (i) we have

$$\begin{aligned} \mathfrak{d}_{S_x(y)}(t) &= K_{a \otimes b}(t) \\ &= 1 + T_1t + \cdots + T_1T_2\cdots T_{n-1}t^{n-1} + \\ &\quad T_1T_2\cdots T_{n-1}Ut^n + \cdots + \\ &\quad (T_1T_2\cdots T_{n-1})^2U_1U_2t^{2n} + \cdots \\ &= (1 + \sum_{i=1}^{n-1} T_1T_2 + \cdots + T_i t^i) (1 + \\ &\quad \sum_{j=1}^{|b|} T_1T_2\cdots T_{n-1})^j t^j \prod_{k=1}^j U_k) \\ &= K_T(t) K_b(t^{|b|}) = \mathfrak{d}_x(t) \mathfrak{d}_y(t^{|x|}). \end{aligned}$$

This completes the proof.

From (ii) of Lemma 4.1 and Theorem 6.3 in reference [6] it follows that there exists an $s > 1$ such that $\mathfrak{d}(1/s) = 0$ and $\mathfrak{d}(t) \neq 0$ for any $0 < t < 1/s$ is $\alpha(h(x)) > R^*$ (see page 172 in reference [5]). Denote by \mathfrak{d} such an s and set $\mathfrak{d} = 1$ if $\alpha(h(x)) \leq R^*$.

Definition 4.1 For an $x \in \Sigma$, the $\mathfrak{d}(x)$ is called to be the eigenvalue of x .

Definition 4.2 Let $x \in \Sigma$. Then x is called to be non-primary if there exist $y \in T - \{S_0\Delta(\Delta); r \in \mathbb{Z}\}$ and $z \in \Sigma - \{\Delta\}$ such that $x = S_y(z)$, where $S_0\Delta$ denotes the iterate of the map $S_0\Delta, x$ is called to be primary if it is not non-primary.

Denote by P the set of primary sequences in Σ .

For $1 < \lambda \leq 2$, let $f_\lambda(x) = \min\{\lambda x, (1-x)\}$ for any $x \in [0, 1]$. Each f_λ is so-called the tent map. Like in reference [5], denote by $K(f_\lambda)$ the kneading sequence of f_λ .

Theorem 4.2 (i) $P = \{j(Z(K(f_\lambda))) : 1 < \lambda \leq 2\}$,

(ii) Let $x \in P$ and $x = j(Z(K(f_\lambda)))$ for some $1 < \lambda \leq 2$. The $d(x) = \lambda$.

(iii) Let $y \in \sum_{n=1}^{\infty} P$. Then there exists uniquely an $x \in P$ such that $d(y) = d(x)$.

Proof (i) By Lemma 3.1 we know that an element $x \in \sum_{n=1}^{\infty} P$ is primary if and only if $a(h(x))$ is primary in sense of reference [5]. Then by reference [5] and the monotonicity of kneading sequences of tent maps we have the desired conclusion.

(ii) Note that f_λ has the topological entropy $h(f_\lambda) = \log \lambda$ for any $1 < \lambda \leq 2$. Then, by reference [7] and (ii) of Lemma 4.1, we have $h(f_\lambda) = \log d(x) = \log \lambda$ and thus $d(x) = \lambda$.

(iii) Since $y \in \sum_{n=1}^{\infty} P$, we that $a(h(y))$ is non-primary in the sense of reference [5]. Then there exist a finite primary sequence $P \in M - \{RC\}$ and a $Q \in M - \{C\}$ such that

$$a(h(y)) = P^* Q$$

and such a P is unique. Put $x = j(Z(P))$ and $z = j(Z(Q))$. Then by Lemma 3.1 we have $y = S_x(z)$. Thus by (iii) of Lemma 4.1 and Definition 4.1 we have $d(y) = d(x)$. This completes the proof.

For any $1 \leq \lambda \leq 4$, let $g_\lambda(x) = \lambda x(1-x)$ for any $x \in [0, 1]$. By Theorem III 1.1 on page 173 in

reference [5] we have $\sum_{n=1}^{\infty} = \{j(Z(K(g_\lambda))) : 1 \leq \lambda \leq 4\}$ and then, For any $x \in T$, there exists a $\lambda \in [1, 4]$ such that $K(g_\lambda) = a(h(x))$. Let $A_h(x)$ be the matrix induced by $h(x)$ in reference [1]. Then $h(g_\lambda) = \log d(A_h(x))$. On the other hand, by reference [7] and (ii) of Lemma 4.1 we have $h(g_\lambda) = \log d(x)$. Thus, by (iii) of Theorem 4.2 and Theorem of reference [1] we have

$$\text{Proposition 4.3} \quad d(T) \equiv \overline{\{d(x) : x \in T\}} = \overline{\{d(x) : x \in T \cap P\}} = [1, 2].$$

References

- Almeida P, Ramos J.S. Symbolic dynamics and norm of 0-1 matrices, European Conference on Iteration Theory (ECIT 91), World Scientific, 1992, 1-7.
- Zeng Fanping, Xi Hongjian. On lorenz maps of the interval. Acta Math Sinica, 1997, 40 (6): 939-946.
- Devaney R.L. An introduction to chaotic dynamical systems, Addison-Wesley, New York, 1989.
- Bernhardt C. Self-similarity maps for the set of unimodal cycles, Intern J Bifurcation and Chaos, 1995, 5 1325-1330.
- Collet P, Eckmann J.P. Iterated maps on the Interval as dynamical systems, Birkhauser Boston, 1980, 176-182.
- Lanpreia J.P, Silva A.R, Ramos J.S*. π -product of markov matrices, Stochastica, 1989, XII (2, 3): 149-166.
- Milnor J, Thurston W. On iterated maps of the interval, Lecture Notes in Math, Springer-Verlag, Berlin, 1988, 1324, 465-563.

(责任编辑: 邓大玉)

(上接第 8 页 Continue from page 8)

- 卢建珠, 郭信康. 具临界增长的拟线性椭圆方程混合边值问题的非平凡解. 高校应用数学学报, 1994, 9 (4) A: 341-349.
- 冉启康, 郭信康. 带临界增长的拟线性退缩椭圆方程的非平凡解. 广西大学学报 (自然科学版), 1995, 20 (4): 337-344.
- 冉启康, 郭信康. 无界域上带临界增长的退缩椭圆方程的非平凡解. 广西大学学报 (自然科学版), 1997, 22 (4): 300-307.

- 郭信康. p -Laplace 方程正解的多重性. 广西大学学报 (自然科学版), 1999, 24 (2): 102-105.
- Brezis H, Nirenberg L. Positive solution of nonlinear elliptic equations involving critical Sobolev exponents. Communications on pure and applied mathematics. 1983, XXXV I 437-477.
- 沈尧天. 拟线性椭圆型方程的多解问题. 数学物理讲座 1 (A), 武汉: 武汉大学出版社, 1985.
- Michael Struwe variational Methods Springer-Verlag, Berlin Herdelberg New York, 1990.

(责任编辑: 黎贞崇)